



Vanuatu Financial Intelligence Unit

REGISTRATION FORM FOR REPORTING ENTITY

Pursuant to section 9(3) of the Anti-Money Laundering & Counter-Terrorism Financing Act No. 13 of 2014, persons carrying on a business stipulated under section 2 of the said Act are required to register the business with the Director.

PART A: Detail of Applicant

1. **Name of Applicant:** _____

2. **Name of the Business** (under which the applicant is carrying on a business or proposes to carry on a business and registered under Business Name Act):

3. **Business Structure** (sole trader, partnership, company or legal arrangement):

4. **Full Business Address** (unit no, floor level, Building, Compound, Street, location proximity, CBD, Island, Province):

5. **Business CT No.:** _____

6. **VFSC Registration No., RBV Licence No. etc...:** _____

7. **Overseas Registration or Licensing Detail:** _____

8. **Business Telephone No.s:** _____

9. **Business Facsimile No.s:** _____

10. **Business Email Address:** _____

11. **Business Postal Address:** _____

12. **Description of the Business** carried on or proposed to be carried on (refer to schedule 1):

13. **Detail of Business** (whether it is a subsidiary of another entity and controlled by another entity):

14. **Detail and location** (full street address) **of Business' affiliates, branches, subsidiaries and/or agents in Vanuatu):**

PART B: Detail of Beneficial Ownership

15. Disclose the business's source of funds/equity/capital (Include supporting documents/proof of source of funds/equity/capital):

16. Name and Residential Address of Beneficial Owners or similar positions (including copy of passport or other ID) and **corresponding percentage of the ownership**:

17. Name, Title, Residential Address and brief role/responsibility description of each senior management officials or similar positions of the business (including copy of passport or other ID):

18. For each person listed under 16 and 17, disclose their immediate family members (Parents, Siblings, Spouse, Children and Dependents):

19. For each person listed under 16 and 17, disclose their close associates that have been subject to adverse reporting/record (in the past 10 years) and those who are classified as PEPs (in the past 10 years):

20. Named persons under 16 and 17 subject to adverse reporting in the past 10 years:
(charged, prosecuted and/or convicted in relation to money laundering, financing of terrorism and other serious offence under the Penal Code, Counter Terrorism & Transnational Organised Crime or the AML&CTF Act; or has been the subject of civil or criminal proceedings or enforcement action, in relation to the management of an entity, which were determined adversely to the mentioned person(s) and which reflected adversely on the applicant's or the named persons' competence, diligence, judgment, honesty and integrity)

PART C: Detail of Applicant's Agent, Branch or Subsidiary ("Affiliate")

21. Full name of the person who is proposed to be registered as an affiliate of the applicant or which the applicant wishes to affiliate with:

22. Business Name under which the other person is carrying on a business or proposes to carry on a business:

23. Business Structure (sole trader, partnership, company or legal arrangement):

24. **Full Business Address** (unit no, floor level, Building, Compound, Street, location proximity, CBD, Island, Province):

25. **Business CT No.:** _____

26. **VFSC Registration No., RBV Licence No. etc...** _____

27. **Overseas Registration or Licensing Detail:** _____

28. **Business Telephone No.:** _____

29. **Business Facsimile No.** _____

30. **Business Email Address:** _____

31. **Business Postal Address:** _____

32. **Description of the Business** carried on or proposed to be carried on:

33. **Date on which the applicant commenced** or is to commence offering the registrable business: _____

34. **Detail of Business** whether it is a subsidiary of another entity, and controlled by another entity:

PART D: Detail of Authorized Personal in the Applicant's Affiliate

35. **Name, Address and percentage of Beneficial Owners** of the Affiliate (including copy of passport or other ID):

36. **Name, Address and role/responsibility of each senior management officials** of the Affiliate (including copy of passport or other ID):

37. **For each person listed under 35 and 36, disclose their immediate family members** (Parents, Siblings, Spouse, Children and Dependents):

38. **For each person listed under 35 and 36, disclose their close associates that have been subject to adverse reporting/record and those who are classified as PEPs:**

39. Named persons under 35 and 36 subject to adverse reporting in the past 10 years:
(charged, prosecuted and/or convicted in relation to money laundering, financing of terrorism and other serious offence under the Penal Code, Counter Terrorism & Transnational Organised Crime or the AML&CTF Act; or has been the subject of civil or criminal proceedings or enforcement action, in relation to the management of an entity, which were determined adversely to the named person(s) and which reflected adversely on the named persons' competence, diligence, judgment, honesty and integrity)

PART E: Consent of Registration

40. Name of person completing the registration form: _____

41. Position of person within the business: _____

42. Whether management approval has been sought: _____

43. Signature of Person, Applicant and management:

Schedule 1

	Domestic Bank
	Offshore Bank
	Casinos, Gaming (e.g. slot machines), Lottery (e.g. "chances")
	Interactive Gaming (e.g. online betting)
	Real Estate
	Vehicle Dealership
	Credit Union
	Co-operative Society
	Law Firm (managing of funds, accounts, securities or property)
	Accounting Firm (managing of funds, accounts, securities or property)
	Trust & Company Service Provider
	Nominee shareholder for another person
	Precious Metal & Stone Dealer
	Property in excess of a million vatu (e.g. yachts)
	Acceptance of Deposit
	Lending
	Financial Leasing
	Money or Value Transfer
	Issuing & Managing means of payment
	Financial Guarantees and Commitment
	Money Market Instrument
	Foreign Exchange, Money Exchange and value exchange (e.g. pawn shops)
	Exchange rate instruments
	Interest rate instruments
	index instruments
	Transferrable security
	Futures trading
	Financial Futures and option
	Commodities futures trading
	Participation in securities issues
	Mutual funds, individual or collective portfolio management
	Insurer
	Insurance Broker
	Insurance Agent
	Insurance Re-Insurer
	Insurance Manager
	Loss Adjuster
	Charitable Associations
	Founder, councilor, secretary or guardian of Foundation
	Securities Dealers
	Administering or managing funds on behalf of international companies or any other persons
	Manager of Unit Trust

	Futures Broker
	Delivery of currency & payroll
	Superannuation (apart from VNPF)
	Money brokering
	Administration and safekeeping of cash and liquid securities
	E-Business